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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Zou et al.

Confirmation No.: 4389

Application No.: 09/122,427

Group Art Unit: 1615

Filed: July 24, 1998

Examiner: Gollamudi S. Kishore

For: SUBMICRON LIPOSOME SUSPENSIONS  
OBTAINED FROM PRELIPOSOME  
LYOPHILATES

Attorney Docket No.: 8449-238-999  
(Previously UTSC584USC2)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.56 AND § 1.97**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby invite the Examiner's attention to the references **C17-C18** listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant." Copies of references **C17-C18** are submitted herewith.

Identification of the listed references is not meant to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application.

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Copied from 10122427 on 02/24/2005

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This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c) after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of any of a final action under 37 C.F.R. § 1.113, a notice of allowance under 37 C.F.R. § 1.311 or an action that otherwise closes prosecution in the application. Accordingly, the \$180.00 fee set forth in 37 C.F.R. §1.17(p) in accordance with 37 C.F.R. § 1.97(c) is estimated to be due. Please charge the required fee to Jones Day Deposit Account No. 50-3013. A duplicate of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date January 24, 2005

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Enclosure



## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY DOCKET NO.

8449-238-999

APPLICATION NO.

09/122,427

APPLICANT

Zou et al.

FILING DATE

July 24, 1998

GROUP

1615

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01						
	A02						
	A03						
	A04						
	A05						
	A06						
	A07						
	A08						
	A09						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B01							
	B02							
	B03							
	B04							
	B05							

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

C17	Kasraian et al , Thermal Analysis of the Tertiary Butyl Alcohol-Water System and its Implications on Freeze-Drying, <i>Pharmaceutical Research</i> 112: 484-490 (1995).
C18	Nitschke et al., Methylene Chloride: A 2-Year Inhalation Toxicity and Oncogenicity Study in Rats, <i>Fundamental and Applied Toxicology</i> 11: 48-59 (1988).

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.